

## Feature

TGF $\beta$ 1 in liver fibrosis: time to change paradigms?

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Received 5 June 2001; accepted 26 June 2001

First published online 9 July 2001

**1. Introduction**

Chronic tissue damage often results in a deregulated wound healing, characterized by an imbalance between extracellular matrix (ECM) synthesis (fibrogenesis) and degradation (fibrolysis), that leads to scar formation. Excessive scarring finally results in architectural distortion and failure of organs such as lungs, kidneys and liver. Hepatic stellate cells (HSC) have been identified as an important cellular source of ECM in liver fibrosis [1]. These cells reside in the perisinusoidal space of Disse that separates hepatocytes from the sinusoidal endothelium. Upon injury (e.g. by toxins or chronic hepatitis) the normally quiescent HSC become activated and start to proliferate. The activated HSC undergo a phenotypic transdifferentiation to contractile myofibroblasts (MFB) that express  $\alpha$ -smooth muscle actin and an excess of ECM molecules.

**2. Relevance of TGF $\beta$ 1 in fibrosis**

Cytokines of the transforming growth factor (TGF) family influence a wide spectrum of cellular processes including differentiation, proliferation, apoptosis and migration. In most cells TGF $\beta$ 1 has anti-proliferative activity and is the most potent single profibrogenic factor known. TGF $\beta$ 1 participates in initiation and maintenance of fibrogenesis in many organs including the liver. Accordingly, tissue and serum levels of active TGF $\beta$ 1 are elevated in fibrosis, and overexpression of TGF $\beta$ 1 in transgenic mice and application of exogenous TGF $\beta$ 1 can induce organ fibrosis [2,3]. Furthermore, experimental fibrosis can be inhibited by anti-TGF $\beta$ 1 treatments, e.g. with neutralizing antibodies or soluble TGF $\beta$  receptors [4,5]. The observed TGF $\beta$ 1 expression of activated HSC/MFB, the potency of TGF $\beta$ 1 to upregulate ECM expression, and the expression of TGF $\beta$  receptors on HSC has led to a widely accepted model in which persistent auto-/paracrine stimulation of activated HSC/MFB by TGF $\beta$ 1 is the key fibrogenic response in liver fibrosis. Other cellular sources for TGF $\beta$ 1 in liver are hepatocytes, (sinusoidal) endothelial cells, platelets, and infiltrating mononuclear cells. Since specific inhibition of TGF $\beta$ 1 seems to be a promising target for an anti-fibrotic therapy, the underlying molecular mechanisms of the profibrogenic effects of TGF $\beta$ 1 are the focus of intense investigations.

**3. TGF $\beta$ 1-induced signal transduction**

TGF $\beta$ 1 is synthesized as a precursor (latent TGF $\beta$ 1) that has to undergo specific proteolysis, e.g. by plasmin, and dissociation from the latency-associated peptide moiety for activation. Our understanding of TGF $\beta$ -dependent signal trans-

duction has been revolutionized in the past few years (see [6] for review). Binding of active TGF $\beta$ 1 to the cellular, constitutively phosphorylated TGF $\beta$  type II receptor (TGF $\beta$ RII) induces formation of heterotetrameric complexes with type I receptors (TGF $\beta$ RI) (Fig. 1A). Upon phosphorylation of serine and threonine residues in TGF $\beta$ RI by ligand-activated TGF $\beta$ RII the thereby activated serine/threonine kinase domain of TGF $\beta$ RI phosphorylates the TGF $\beta$ -specific signal transducers Smad2 and Smad3. Whereas Smad2 requires presentation to TGF $\beta$ RI by the adapter protein Smad anchor for receptor activation (SARA), Smad3 works SARA-independent. Phosphorylated Smad2 and Smad3 dissociate from the (receptor) complex and form heterodimeric complexes with Smad4 or heterotrimeric complexes with Smad3 and Smad4. These complexes translocate into the nucleus where they act as transcriptional modulators on TGF $\beta$  responsive gene promoters, e.g. those for plasminogen activator inhibitor 1 (PAI-1), the  $\alpha$ 2 chain of collagen I, and Smad7 [7]. Smad2 seems to be the main signaling molecule for TGF $\beta$ 1-induced ECM up-regulation, since fibroblasts from Smad3 knockout mice still increase their ECM molecule production upon TGF $\beta$ 1 treatment. A Smad2 gene inactivation is embryonically lethal [7]. The third TGF $\beta$  receptor (TGF $\beta$ RIII or betaglycan) is a proteoglycan that binds TGF $\beta$ 1 with high affinity, serving as a non-signaling co-receptor for TGF $\beta$ RI and TGF $\beta$ RII. Expression of TGF $\beta$ RIII on the cell surface seems to be down-regulated during transdifferentiation of HSC to MFB [8].

**4. Distinct TGF $\beta$ 1 binding by HPCs and myofibroblasts?**

Stimulation by TGF $\beta$ 1 requires binding of the cytokine to its cognate receptors on activated HSC/MFB and subsequent signal transduction to the nucleus. Investigations of the binding properties of HSC and MFB for TGF $\beta$ 1 led to divergent results. Using HSC isolated from liver subsequent to injection of the hepatotoxin CCl<sub>4</sub>, constant cellular TGF $\beta$ 1 binding within the following 72 h was observed [9]. Cells exhibited an increased secretion of the ECM protein fibronectin and its synthesis could be further stimulated by exogenous TGF $\beta$ 1. HSC cultured on plastic undergo spontaneous activation and start to transdifferentiate to MFB within 3–5 days. Using 5 day old culture-activated cells Friedman et al. found elevated and saturable TGF $\beta$ 1 binding compared to HSCs maintained in suspension to prevent spontaneous activation [10]. Fibronectin mRNA expression was only inducible by TGF $\beta$ 1 in culture-activated HSC, whereas suspension cultured cells showed no response. However, how far HSC held in suspension can substitute for quiescent, normally adherent HSC has to be discussed.

Somewhat in contrast, Dooley et al. observed high binding

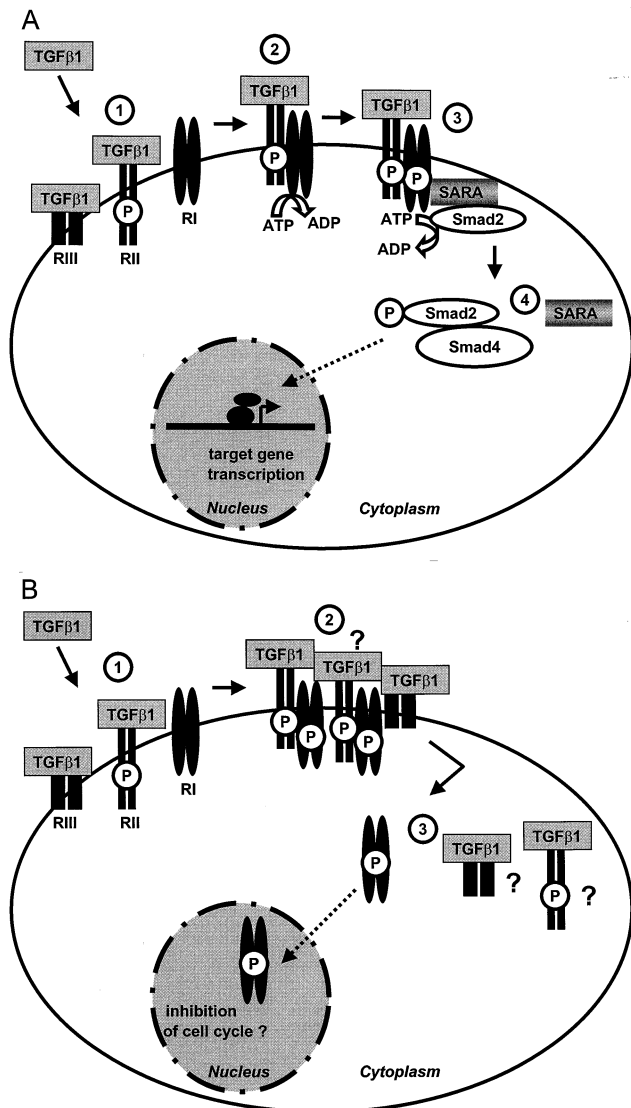


Fig. 1. Scheme of TGF $\beta$ 1 signaling. A: TGF $\beta$ 1 that underwent activation binds to TGF $\beta$  receptors TGF $\beta$ RII (RII) and TGF $\beta$ RIII (RIII, step 1). Upon ligand binding TGF $\beta$ RII forms a heterotetrameric complex with TGF $\beta$ RI wherein the constitutively active serine/threonine kinase of TGF $\beta$ RII phosphorylates and thereby activates TGF $\beta$ RI (step 2). The activated TGF $\beta$ RI now phosphorylates the TGF $\beta$ -specific signal transducer Smad2, which is recruited and presented by SARA, the SARA (step 3). Smad3 can substitute for the Smad2/SARA complex (not shown). Phospho-Smad2 and SARA separate and after assembling with Smad4 the formed complex translocates into the nucleus where it cooperates with transcriptional activators or repressors to modulate transcription of TGF $\beta$ 1 responsive genes (step 4). B: Hypothetical alternative signaling pathway for the growth inhibitory effect of TGF $\beta$ 1. After TGF $\beta$  binding the receptors TGF $\beta$ RI and TGF $\beta$ RII or TGF $\beta$ RI, TGF $\beta$ RII and TGF $\beta$ RIII form heteromeric complexes that further aggregate on the cell surface (step 1 and 2). The receptor(s) enter the cell with or without bound ligand (not known) by a mechanism distinct from normal endocytosis via clathrin-coated vesicles. TGF $\beta$ RI translocates into the nucleus where it might influence cell cycle regulation.

of TGF $\beta$ 1 to short-term cultured (2–3 days) ‘quiescent’ HSC and an almost absent binding to MFB (one passage) that had been cultured for  $\geq 10$  days [8]. Although the TGF $\beta$ 1 binding decreased, the mRNA levels and protein expression of TGF $\beta$ RI and TGF $\beta$ RII increased during early activation of HSC and remained high in MFB. The reduced ability of acti-

vated HSC/MFB to bind TGF $\beta$ 1 was paralleled by a strongly decreased response to the anti-proliferative effects and transcriptional activation of TGF $\beta$ 1 for Smad7 and the ECM molecules hyaluronan and collagen  $\alpha 2(I)$ . Fully transdifferentiated MFB generated by long term cultivation on plastic or isolated from fibrotic liver showed a constitutive and TGF $\beta$ 1-independent high expression of collagen I, the major ECM component involved in fibrogenesis.

## 5. TGF $\beta$ 1 signaling in HPCs and myofibroblasts

In this issue of the journal Dooley et al. present a more detailed analysis of the TGF $\beta$ 1 signaling in quiescent HSC (cultured for only 2 days) and MFB [11]. In TGF $\beta$ 1 responsive 2 day cultured quiescent HSC, phosphorylation and nuclear translocation of Smad2 was induced by exogenous TGF $\beta$ 1, whereas this event was reduced in culture-activated HSC (7 days) and absent in MFB. TGF $\beta$ 1 also induced phosphorylation of Smad3 in quiescent HSC, but not in MFB. Since Western blot analysis demonstrated comparable protein expression of Smad2 and Smad3 both in quiescent HSC and MFB, the absence of phosphorylated Smad2 and Smad3 in MFB provides further evidence for reduced TGF $\beta$ 1 binding to its receptors or a postreceptor blockade in MFB. A low response to TGF $\beta$ 1 was also reported for CFSC-2G cells, an activated HSC line derived from a cirrhotic rat liver displaying a high constitutive expression of ECM proteins [12]. Since in CFSC-2G cells ECM production could be further stimulated by TGF $\beta$ 1, they might not be fully activated HSC. Accordingly, TGF $\beta$ 1 induced phosphorylation, subsequent nuclear translocation of Smad2 and transcriptional activation of ECM promoters. However, in untreated CFSC-2G cells Smad3 was constitutively phosphorylated and already present in the nucleus.

Transfecting MFB with a recombinant adenovirus encoding a constitutively active TGF $\beta$ RI Dooley et al. completely restored TGF $\beta$ 1 signaling [11]. In these modified MFBs phosphorylated Smad2 and transcriptional activation of a Smad responsive promoter were clearly detectable, demonstrating that the downstream signaling is functional in MFB. Consequently, the different TGF $\beta$ 1 response of quiescent HSC and MFB appears to be caused by a variant activity of their TGF $\beta$  receptors. Recent investigations using modified mink lung epithelial cells (Mv1Lu-DR26) expressing a kinase-domain mutated and functionally inactive TGF $\beta$ RII indicated that growth inhibitory and transcription regulatory roles of TGF $\beta$ 1 might be signaled independent of each other [13]. In these cells TGF $\beta$ 1 failed to inhibit proliferation and to up-regulate the cyclin-dependent kinase inhibitor p15<sup>INK4B</sup>, while transcriptional activation of the TGF $\beta$ 1 responsive promoter of a profibrogenic protein (PAI-1) was not affected. On the other hand, mutations in the TGF $\beta$ RI have been defined that only block the growth inhibitory effects of TGF $\beta$ 1, while transcriptional activation of PAI-1 and fibronectin remains unaffected [14]. In summary these results suggest a major role of TGF $\beta$ RII in the regulation of ECM gene expression, while TGF $\beta$ RI appears to mainly transduce regulatory effects of TGF $\beta$ 1 on proliferation.

In exploring the fate of the TGF $\beta$  receptors after ligand binding, Zwaagstra et al. found that downregulation of cell surface TGF $\beta$  receptors was due to internalization. This downregulation is a cooperative event with participation of

all three receptors and consisting of two phases: the receptor complexes first form aggregates on the cell surface, followed by internalization by a mechanism that is distinct from normal endocytosis via clathrin-coated vesicles [15,16]. Receptor internalization was prevented when ligand binding took place at 4°C and subsequent warming to 37°C decreased cell surface bound TGFβ1. Since the authors show that this observation was not caused by internalization of TGFβ1, they hypothesized that TGFβ receptor aggregation could lead to decreased receptor affinity.

The signal transduction mechanism underlying the TGFβ1-induced growth arrest is still not completely understood. Surprisingly, in immunohistochemistry the majority of TGFβRI was found intracellularly and accumulated in the nucleus after TGFβ1 treatment [17]. Since the cell cycle (cyclin-dependent kinase) inhibitor olomoucine also induced nuclear localization of TGFβRI, it might be speculated that signaling of growth arrest is conferred by TGFβRI translocation into the nucleus (Fig. 1B). Thus ligand-induced receptor downregulation and reduced receptor affinity, apart from altered receptor stoichiometry, could be an explanation for a decreased TGFβ binding to and responsiveness of MFB compared to quiescent HSC [18].

## 6. Time to change paradigms?

In summary, the results presented by Dooley et al. [8,11] demonstrate a TGFβ1 insensitivity of MFB that strongly argues against the continuous auto-/paracrine stimulation of these cells by TGFβ1, as postulated by the currently accepted model of fibrogenesis. The authors provide strong evidence that the lost TGFβ1-sensitivity of MFB results from altered properties of the (signaling) TGFβ receptors. Nonetheless, TGFβ1 still plays a pivotal role in the pathogenesis of organ fibrosis, especially in liver. Although apparently not directly affecting MFB, TGFβ1 can induce activation of quiescent HSC and their subsequent transdifferentiation to MFB. Furthermore, continuous TGFβ1 secretion of MFB supports spreading of the fibrogenic reaction into previously unaffected tissue areas. A currently unresolved problem is how the TGFβ1-insensitive MFB manage to stay activated. Other pro-fibrogenic factors, e.g. platelet-derived growth factor (PDGF), basic fibroblast growth factor (FGF-2), and endothelin-1 may preserve MFB activation. However, interpretation of the available experimental data on HSC activation requires caution, since this process was mainly studied in vitro using culture-activated cells. Activation of HSC and the resultant MFB phenotype may differ significantly in vivo and in vitro, as exemplified by divergent expression of some HSC activation markers [19,20]. In addition, a recently performed proteome analysis of quiescent HSC, in vivo activated rat HSC/MFB isolated from fibrotic liver, and in vitro activated HSC/MFB revealed non-matching protein expression changes in about

40% of identified proteins ( $n = 43$ ) between in vivo and in vitro activated HSC [21]. Therefore, future efforts will have to focus on those events that most reliably represent the in vivo fibrogenic activation.

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